



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,856	07/10/2001	A. David Johnson	A-70170

CONFIRMATION NO. 1678

FORMALITIES LETTER



OC000000006474244

Law Offices of Richard E. Backus
The Monadnock Building
Suite 490
685 Market Street
San Francisco, CA 94105

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

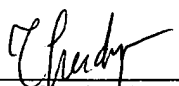
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 BHRBTEW 00000021 09902856

01 FC:205

65.00 OP



Section 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

A. David Johnson et. al.

Serial No.: 09/902,856

Filing Date: 7/10/01

For: FREE STANDING SHAPE MEMORY ALLOY THIN FILM
AND METHOD OF FABRICATION

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231, on Oct 18, 2001.

Signed: *Richard E. Backus*

Richard E. Backus, Reg. No. 22,701

BOX FEE - MISSING PARTS
Customer Service Center
Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated 8/27/01, enclosed is Part 2 of the
Notice, and the following:

- Declaration For Patent Application;
- ASSIGNMENT with Recordation Form for recordal thereof and our check
#1231 in the amount of \$40 for the recordation fee;
- Power of Attorney by Assignee
- our check #1232 in the amount of \$65 for the balance due on missing parts.

Date: Oct 18, 2001

LAW OFFICES OF RICHARD E. BACKUS
685 MARKET STREET, SUITE 490
SAN FRANCISCO, CALIFORNIA 94105

REB
Richard E. Backus, Reg. 22,701
Attorney for Applicants